

A Study of Pressure Gradient Effects on the Interaction of a Rotating Magnetic Field with the Plasma in the Kinetic Approximation

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Outline

- Conductivity operator
 - ★ Hamiltonian kinetic approach
 - ★ Canonical perturbation theory
 - ★ Finite Larmor radius expansion
- Results
 - ★ Benchmarking with ideal MHD
 - ★ Power absorption and torque with and without pressure gradients
- Conclusions

Kinetic equation

$$\frac{\partial f}{\partial t} + \{f, H\} = \nu \left[f_0 \left(\mathbf{x}, \mathbf{P} - \frac{e}{c} \tilde{\mathbf{A}} \right) - f \right],$$

$$f = \bar{f} + \tilde{f}, \quad H = \bar{H} + \tilde{H},$$

$$\frac{\partial \bar{f}}{\partial t} = \{ \bar{H}, \bar{f} \} + \overline{\{ \tilde{H}, \tilde{f} \}},$$

$$\frac{\partial \tilde{f}}{\partial t} = \{ H_0, \tilde{f} \} + \{ \tilde{H}, \bar{f} \} + \widetilde{\{ \tilde{H}, \tilde{f} \}},$$

Small amplitudes, Fourier analysis in time

$$\bar{f} = f_0(\mathbf{x}, \mathbf{P}) = f_0(\mathbf{J}),$$

$$\mathbf{E}(\mathbf{x}, t) = \Re \{ \mathbf{E}(\mathbf{x}) e^{-i\omega t} \},$$

Transformation of coordinates and velocities

The **canonical frequencies** are

$$\begin{aligned}\Omega^i &= \frac{\partial H_0}{\partial J_i}, \\ v_0^i &= \dot{x}^i = \frac{\partial H_0}{\partial P_i} = \frac{\partial H_0}{\partial J_l} \frac{\partial J_l}{\partial P_i} = \Omega_0^l \frac{\partial x^i}{\partial x^k} \frac{\partial J_l}{\partial P_k} \\ &= \Omega_0^l \{x^i, J_l(\mathbf{x}, \mathbf{P})\}_{(\mathbf{x}, \mathbf{P})} = \Omega_0^l \{x^i(\boldsymbol{\theta}, \mathbf{J}), J_l\}_{(\boldsymbol{\theta}, \mathbf{J})} = \frac{\partial x^i}{\partial \theta^l} \Omega^l\end{aligned}$$

the form of the **transformation** is

$$\begin{aligned}x^1 &= r = r_0(P_\vartheta, P_z) + \rho^r(\phi_c, \mathbf{J}), & v_0^r &= \frac{\partial \rho^r}{\partial \phi_c} \Omega^\phi, \\ x^2 &= \vartheta = \vartheta_c + \rho^\vartheta(\phi_c, \mathbf{J}), & v_0^\vartheta &= \Omega^\vartheta + \frac{\partial \rho^\vartheta}{\partial \phi_c} \Omega^\phi, \\ x^3 &= z = z_c + \rho^z(\phi_c, \mathbf{J}), & v_0^z &= \Omega^z + \frac{\partial \rho^z}{\partial \phi_c} \Omega^\phi.\end{aligned}$$

Current density in linear theory

$$\mathbf{j}(\mathbf{x}) = \int d^3v e \mathbf{v} f(\mathbf{x}, \mathbf{v}) = \mathbf{j}_U(\mathbf{x}) + \mathbf{j}_M(\mathbf{x}).$$

Applying **Gauss theorem** the current density \mathbf{j}_U can be re-written in the form

$$\mathbf{j}_U(\mathbf{x}) = -\frac{e^2 n_0(r)}{m} \frac{1}{i\omega} \tilde{\mathbf{E}}(\mathbf{x}) = \int d^3P e \mathbf{v}_0(r) \left(\frac{e}{c} \tilde{\mathbf{A}}(\mathbf{x}) \cdot \frac{\partial f_0(r, \mathbf{P})}{\partial \mathbf{P}} \right),$$

$$\mathbf{j}_M(\mathbf{x}) = \int d^3P e \mathbf{v}_0(r) \tilde{f}(\mathbf{x}, \mathbf{P}).$$

\mathbf{j}_U ... purely reactive unmagnetized plasma response,

\mathbf{j}_M ... (main) magnetized plasma response,

$\mathbf{v}_0(r) = \frac{1}{m} \left(\mathbf{P} - \frac{e}{c} \mathbf{A}_0(r) \right)$... velocity in canonical coordinates.

Current density perturbation

$$\begin{aligned}\tilde{\mathbf{j}}(\mathbf{x}) &= \mathbf{j}_U(\mathbf{x}) + \mathbf{j}_M(\mathbf{x}) = \int d^3P e v_0(r, \mathbf{P}) \tilde{f}^t(\mathbf{x}, \mathbf{P}) \\ \tilde{f}^t(\mathbf{x}, \mathbf{P}) &= \tilde{f}(\mathbf{x}, \mathbf{P}) + \frac{e}{c} \tilde{\mathbf{A}}(\mathbf{x}) \cdot \frac{\partial f_0(r, \mathbf{P})}{\partial \mathbf{P}}.\end{aligned}$$

Contravariant current density

$$\begin{aligned}j^k(\mathbf{x}) &= \int d^3P \int d^3r' \delta(\mathbf{r} - \mathbf{r}') e v_0^k(\mathbf{r}', \mathbf{P}) \tilde{f}^t(\mathbf{r}', \mathbf{P}) \\ &= \int d^3J \int d^3\theta \frac{1}{\sqrt{g}} \delta(\mathbf{x} - \mathbf{x}(\boldsymbol{\theta}, \mathbf{J})) e v_0^k(\boldsymbol{\theta}, \mathbf{J}) \tilde{f}^t(\boldsymbol{\theta}, \mathbf{J}).\end{aligned}$$

Perturbed distribution function

$$\tilde{H} = -\frac{e}{c} \mathbf{v}_0 \cdot \tilde{\mathbf{A}} = \frac{ie}{\omega} v_0^k E_k = \frac{ie}{\omega} \Omega^\alpha \mathcal{E}_\alpha, \quad \mathcal{E}_\alpha(\boldsymbol{\theta}, \mathbf{J}) = \frac{\partial x^i}{\partial \theta^\alpha} \tilde{E}_i(\mathbf{x}(\boldsymbol{\theta}, \mathbf{J})).$$

$$\tilde{H}(\boldsymbol{\theta}, \mathbf{J}) = \sum_{\mathbf{m}} \tilde{H}_{\mathbf{m}}(\mathbf{J}) e^{i\mathbf{m} \cdot \boldsymbol{\theta}}, \quad \tilde{f}(\boldsymbol{\theta}, \mathbf{J}) = \sum_{\mathbf{m}} \tilde{f}_{\mathbf{m}}(\mathbf{J}) e^{i\mathbf{m} \cdot \boldsymbol{\theta}},$$

$$\tilde{f}_{\mathbf{m}} = \frac{1}{\mathbf{m} \cdot \boldsymbol{\Omega} - \omega - i\nu} \left(m_\alpha \tilde{H}_{\mathbf{m}} + \nu \frac{e}{\omega} (\mathcal{E}_\alpha)_{\mathbf{m}} \right) \frac{\partial f_0}{\partial J_\alpha},$$

$$\tilde{f}_{\mathbf{m}}^t(\mathbf{J}) = \frac{ie}{\omega} \frac{(\mathcal{E}_\alpha(\boldsymbol{\theta}, \mathbf{J}))_{\mathbf{m}}}{\mathbf{m} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \omega - i\nu} \left\{ \Omega^\alpha(\mathbf{J}) \left(\mathbf{m} \cdot \frac{\partial f_0(\mathbf{J})}{\partial \mathbf{J}} \right) - \frac{\partial f_0(\mathbf{J})}{\partial J_\alpha} (\mathbf{m} \cdot \boldsymbol{\Omega}(\mathbf{J}) - \omega) \right\},$$

The low frequency limit

Only the **potential** part of the electric is considered:

$$\begin{aligned}\tilde{\mathbf{A}}(\mathbf{x}) &= \mathbf{0}, & \tilde{\mathbf{E}}(\mathbf{x}) &= -\nabla\tilde{\Phi}(\mathbf{x}), \\ (\mathcal{E}_\alpha)_\mathbf{m} &= -\left(\nabla\tilde{\Phi}(\mathbf{x}(\boldsymbol{\theta}, \mathbf{J})) \cdot \frac{\partial\mathbf{x}(\boldsymbol{\theta}, \mathbf{J})}{\partial\theta^\alpha}\right)_\mathbf{m} = -\left(\frac{\partial\tilde{\Phi}(\mathbf{x}(\boldsymbol{\theta}, \mathbf{J}))}{\partial\theta^\alpha}\right)_\mathbf{m} = -i m_\alpha\tilde{\Phi}_\mathbf{m}(\mathbf{J}), \\ \tilde{f}_\mathbf{m}^t(\mathbf{J}) &= \frac{e\tilde{\Phi}_\mathbf{m}(\mathbf{J})}{\mathbf{m} \cdot \boldsymbol{\Omega}(\mathbf{J})} \left(\mathbf{m} \cdot \frac{\partial f_0(\mathbf{J})}{\partial\mathbf{J}}\right).\end{aligned}$$

Here it is important to have the current \mathbf{j}_U under the integral so that the (Larmor radius expanded) contributions from \mathbf{j}_U and \mathbf{j}_M exactly add up in such a way that ω cancels and the result is finite in the limit $\omega \rightarrow 0$.

Finite Larmor radius expansion

$$\begin{aligned}
 \tilde{\mathbf{E}}(\mathbf{x}) &= \tilde{\mathbf{E}}(r) e^{i(k_\vartheta \vartheta + k_z z)}, \\
 (\mathcal{E}_\alpha)_\mathbf{m} &= \frac{1}{2\pi} \int_{-\pi}^{\pi} d\phi_c e^{-im_\phi \phi_c} e^{i(k_\vartheta \rho^\vartheta + k_z \rho^z)} \frac{\partial x^k}{\partial \theta^\alpha} \tilde{E}_k(r_0 + \rho^r) \\
 &= \left[(a_\alpha^k)_{m_\phi} + (b_\alpha^k)_{m_\phi} \frac{\partial}{\partial r_0} + \dots \right] \tilde{E}_k(r_0), \\
 \\
 \frac{1}{2\pi} \int_{-\pi}^{\pi} d\phi_c e^{im_\phi \phi_c} \delta(r - r_0 - \rho^r) \frac{\partial x^k}{\partial \theta^\alpha} e^{-i(k_\vartheta \rho^\vartheta + k_z \rho^z)} &= \\
 &= \left[(a_\alpha^k)^*_{m_\phi} - \frac{\partial}{\partial r_0} (b_\alpha^k)^*_{m_\phi} + \dots \right] \delta(r - r_0),
 \end{aligned}$$

The Fourier coefficients of \mathbf{a}_α , \mathbf{b}_α are computed analytically. The expansion for \mathbf{a}_α and \mathbf{b}_α has to be done carefully in such a way that the correct low frequency limit is obtained.

Current density in 2-mode FLR expansion

$$\tilde{j}^k(r) = \frac{2\pi i e^2}{\omega r} \sum_{m_\phi} \int_0^\infty dJ_\perp \int_{-\infty}^\infty du_\parallel$$

$$\times \left[(a_\alpha^k)^*_{m_\phi} - \frac{\partial}{\partial r} (b_\alpha^k)^*_{m_\phi} \right] \frac{\Omega^\alpha J S^\beta}{\mathbf{m} \cdot \boldsymbol{\Omega} - \omega - i\nu} \left[(a_\beta^j)_{m_\phi} + (b_\beta^j)_{m_\phi} \frac{\partial}{\partial r} \right] E_j(r),$$

$$S^\beta = \Omega^\beta \mathbf{m} \cdot \frac{\partial f_0}{\partial \mathbf{J}} - \frac{\partial f_0}{\partial J_\beta} (\mathbf{m} \cdot \boldsymbol{\Omega} - \omega).$$

For a Maxwellian plasma

$$\frac{\partial f_0}{\partial J_\beta} = \frac{1}{m} \frac{\partial f_0}{\partial v_j} \{ \theta^\beta, P_j \} = -\frac{f_0}{T_0} v^j \frac{\partial \theta^\beta}{\partial x^j} = -\frac{f_0}{T_0} \Omega^\beta \quad \Rightarrow \quad S^\beta = -\omega \frac{f_0}{T_0} \Omega^\beta.$$

Therefore, in the case of a homogeneous Maxwellian plasma only **absorption** of power is possible.

Power flux and power absorption

Averaged over ϑ and z , the work of the wave electric field on the current is separated into a divergence of some material flux density, a locally absorbed power and a fake work

$$\frac{1}{2} \Re \langle \mathbf{j} \cdot \mathbf{E}^* \rangle = \frac{1}{2} \Re \left\{ j^k(r) E_k^*(r) \right\} = \frac{1}{r} \frac{\partial}{\partial r} r F_M^r(r) + p_L(r) + p_f,$$

$$F_M^r(r) = -\frac{\pi e^2}{\omega r} \Re \left\{ i \sum_{m_\phi} \int_0^\infty dJ_\perp \int_{-\infty}^\infty du_\parallel \left(b_\alpha^k \right)_{m_\phi}^* \frac{\partial E_k^*(r)}{\partial r} \frac{J \Omega^\alpha \Omega^\beta}{\mathbf{m} \cdot \boldsymbol{\Omega} - \omega} \mathbf{m} \cdot \frac{\partial f_0}{\partial \mathbf{J}} \left[\left(a_\beta^j \right)_{m_\phi} + \left(b_\beta^j \right)_{m_\phi} \frac{\partial}{\partial r} \right] E_j(r) \right\},$$

$$p_L(r) = -\frac{\pi^2 e^2}{\omega r} \sum_{m_\phi} \int_0^\infty dJ_\perp \int_{-\infty}^\infty du_\parallel J \delta(\mathbf{m} \cdot \boldsymbol{\Omega} - \omega) \mathbf{m} \cdot \frac{\partial f_0}{\partial \mathbf{J}} \left| \Omega^\beta \left[\left(a_\beta^j \right)_{m_\phi} + \left(b_\beta^j \right)_{m_\phi} \frac{\partial}{\partial r} \right] E_j(r) \right|^2,$$

$$p_f = -\frac{\pi e^2}{\omega r} \Re \left\{ i \sum_{m_\phi} \int_0^\infty dJ_\perp \int_{-\infty}^\infty du_\parallel E_k^*(r) \left[\left(a_\alpha^k \right)^* - \frac{\partial}{\partial r} \left(b_\alpha^k \right)^* \right] J \Omega^\alpha \frac{\partial f_0}{\partial J_\beta} \left[a_\beta^j E_j(r) + b_\beta^j \frac{\partial E_j(r)}{\partial r} \right] \right\}.$$

Due to the indefinite sign of $\mathbf{m} \cdot \partial f_0 / \partial \mathbf{J}$, power absorption is guaranteed for a Maxwellian plasma only. The fake work p_f stems from the finite Larmor radius expansion of the subintegrand in current \mathbf{j}_U . For a Maxwellian plasma p_f can be shown to be a flux divergence.

Number of modes

If $\frac{\partial}{\partial r}$ is interpreted as k_{\perp} with $\mathbf{k} = (k_{\perp}, 0, k_{\parallel})$, the Maxwell tensor

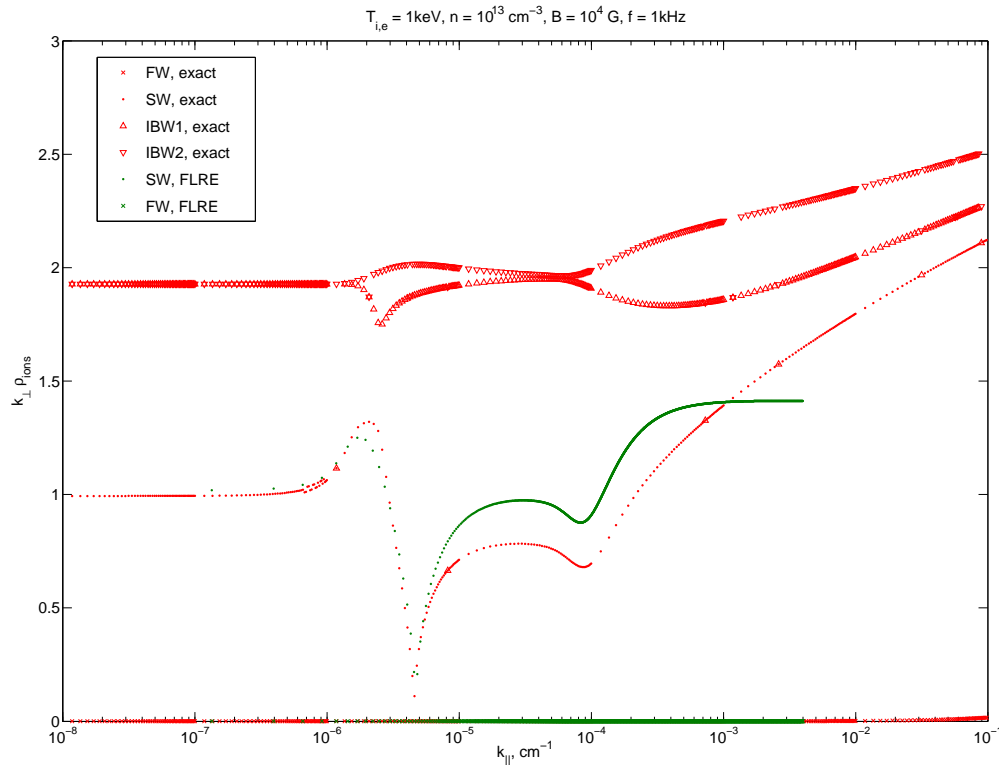
$$\Lambda_{ij} = N_i N_j - \delta_{ij} N^2 + \varepsilon_{ij},$$

has the following structure

$$\Lambda \hat{=} \begin{pmatrix} k_{\perp}^0 + k_{\perp}^{2(M-1)} & k_{\perp}^0 + k_{\perp}^{(2M-1)} & k_{\perp}^1 + k_{\perp}^{(2M-1)} \\ k_{\perp}^0 + k_{\perp}^{(2M-1)} & k_{\perp}^2 + k_{\perp}^{2M} & k_{\perp}^0 + k_{\perp}^{2M} \\ k_{\perp}^1 + k_{\perp}^{(2M-1)} & k_{\perp}^0 + k_{\perp}^{2M} & k_{\perp}^2 + k_{\perp}^{2M} \end{pmatrix}.$$

For this particular FLR expansion of order M which fulfills positive energy definiteness and has a finite low frequency limit, there exist $6M - 2$ roots of the dispersion equation, i.e. $3M - 1$ modes in the system. Therefore, the number of modes is $2, 5, 8, \dots$

Dispersion: comparison with the exact solution



Red: roots of the exact dispersion equation; a fast mode, a slow mode and 2 Bernstein type modes are shown.

Green: roots of the 2-mode FLR expanded dispersion equation.

Only the fast and slow mode are coupled in the Alfvén resonance zone.

Quasilinear theory, calculation of the torque

$$\begin{aligned} \frac{\partial n_0}{\partial t} &\equiv \left\langle \int d^3P \frac{\partial \bar{f}}{\partial t} \right\rangle_{\vartheta, z} = \left\langle \int d^3P \overline{\left\{ \tilde{H}, \tilde{f} \right\}} \right\rangle_{\vartheta, z} \\ &= -\frac{1}{r} \frac{\partial}{\partial r} r \left\langle \int d^3P \overline{\left\{ r, \tilde{H} \right\} \tilde{f}} \right\rangle_{\vartheta, z} \equiv -\frac{1}{r} \frac{\partial}{\partial r} r V_r, \end{aligned}$$

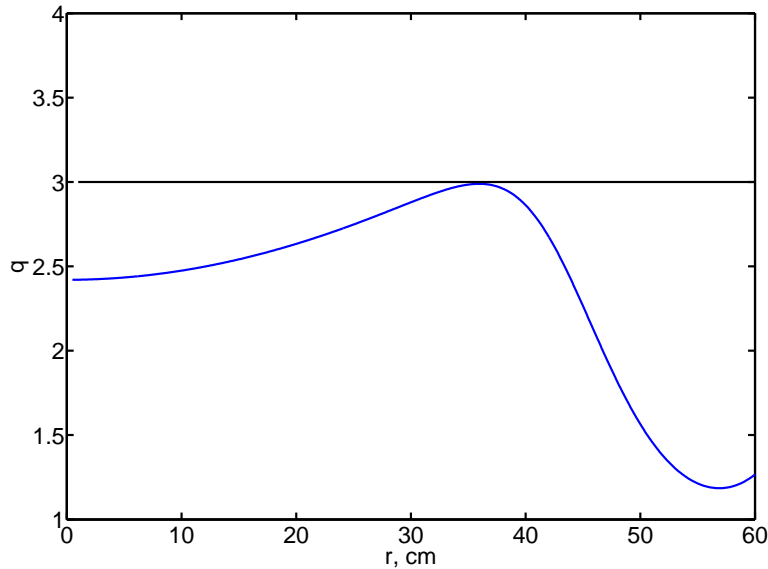
radial fluid velocity:

$$\begin{aligned} V_r &= -\frac{\pi}{2rn_0} \sum_{m_\phi} \int d\phi_c \int d^3J \delta(r - r(\phi_c, \mathbf{J})) \mathbf{m} \cdot \frac{\partial r(\phi_c, \mathbf{J})}{\partial \mathbf{J}} \\ &\quad \times |H_{\mathbf{m}}|^2 \delta(\mathbf{m} \cdot \Omega - \omega) \mathbf{m} \cdot \frac{\partial f_0}{\partial \mathbf{J}} = \frac{ck_s}{\omega n_0 e B_0} p_L = \frac{c}{B_0} \frac{F_s}{n_0 e} \end{aligned}$$

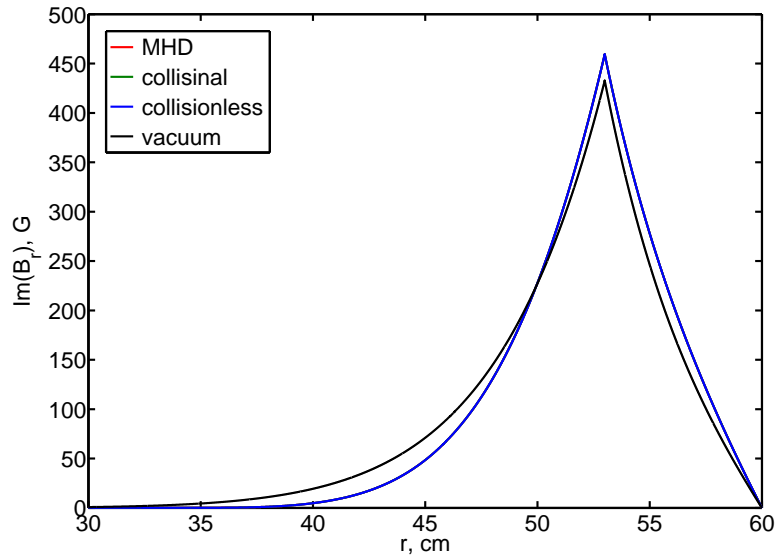
Force density in $\mathbf{e}_s = (\mathbf{B}_0/B_0) \times \mathbf{e}_r$ direction:

$$F_s = \frac{k_s}{\omega} p_L.$$

Comparison of B_r profile with ideal MHD



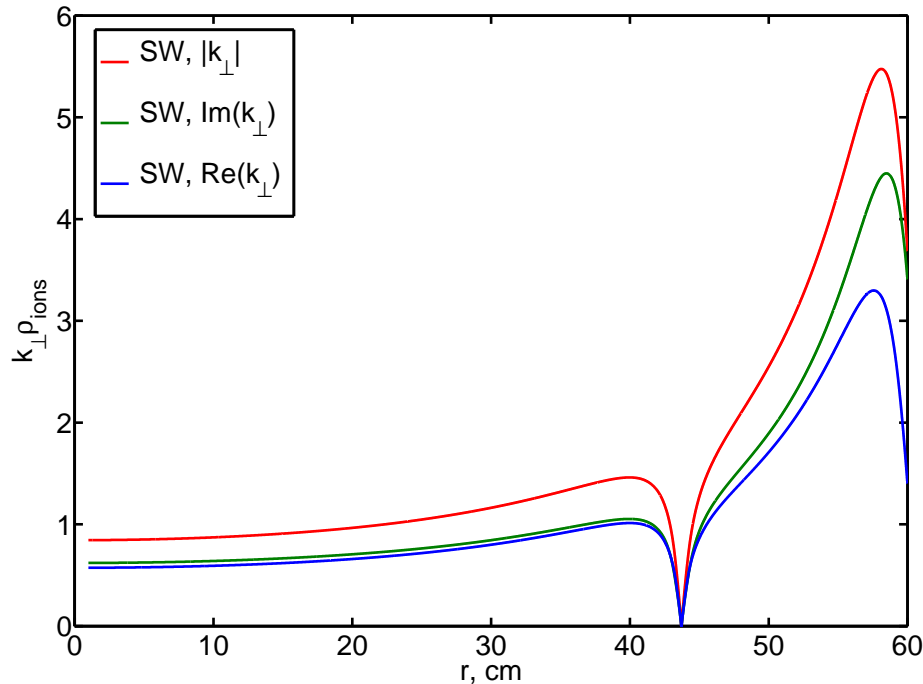
q – profile with extremum close to the resonance



B_r – radial magnetic field

Equilibrium plasma currents modify the profile when compared to the vacuum case. Ideal MHD and 2-mode FLR expansion results are in excellent agreement.

Slow mode dispersion: collisional electron model

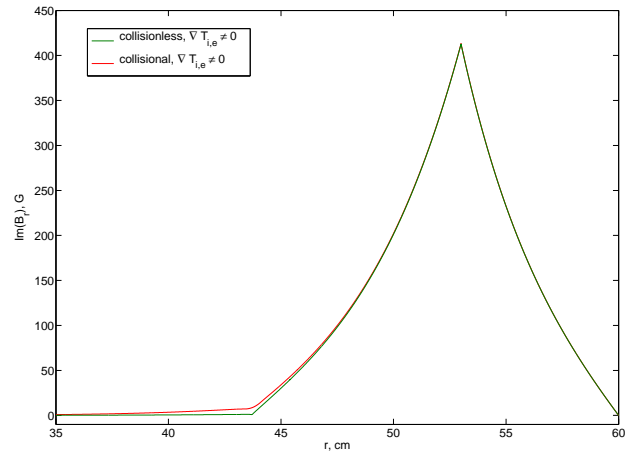
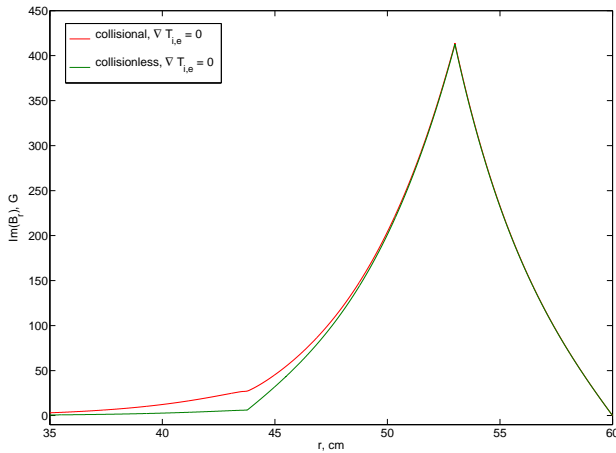
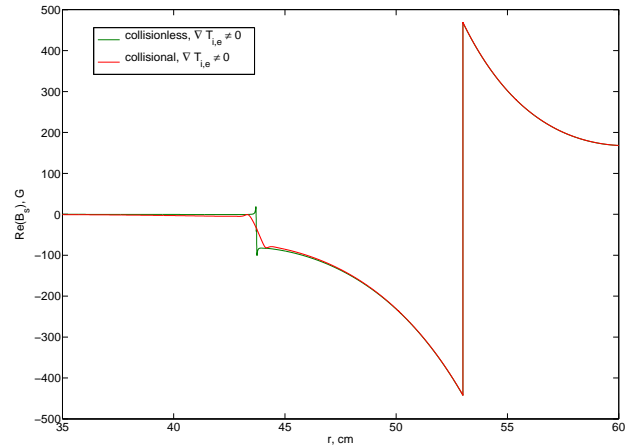
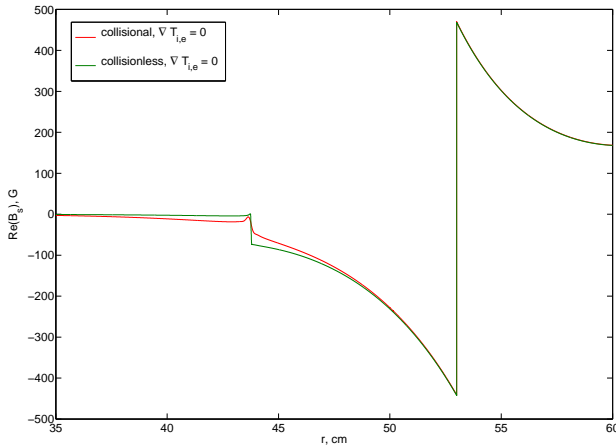


Blue: real part. Green: imaginary part. Red: modulus.

Periodic cylinder model of the plasma torus with constant densities and temperatures and a q profile such that the resonant radius $r_{\text{res}} \approx 43$.

central values: $n_0 = 10^{13} \text{ cm}^{-3}$, $B_0 = 2 \text{ T}$, $T_0 = 1 \text{ keV}$.

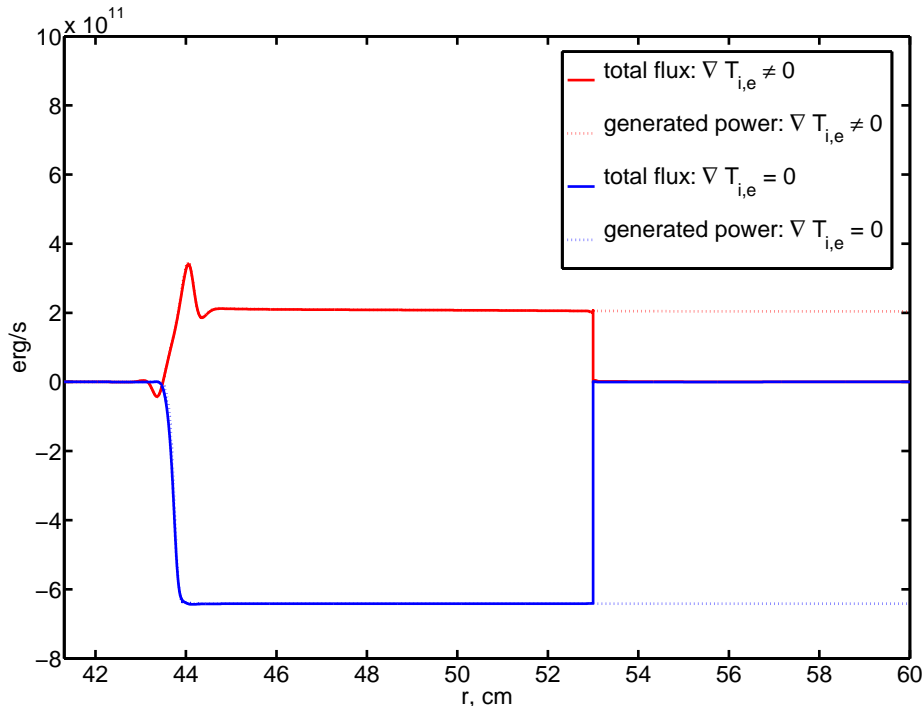
Magnetic field perturbations B_s and B_r



$n = \text{const}, T_{i,e} = \text{const}.$

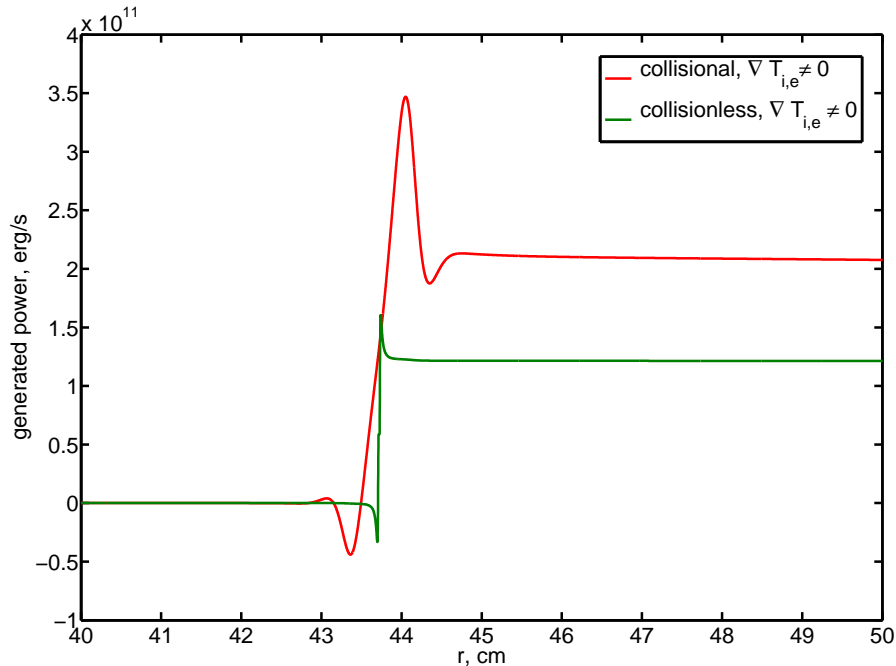
$n = \text{const}, T_i = T_e = T_0 (1 - r^2/a^2).$

Total Power flux and integrated locally absorbed (generated) power



Blue: no pressure gradients. **Red:** with pressure gradients. a) the energy equation is satisfied b) the value obtained from the expression p_L for the absorbed (generated) power within the plasma volume is in perfect agreement with the work of the local electric field on the antenna currents $\frac{1}{2} \Re \left\{ \tilde{\mathbf{E}} \cdot \tilde{\mathbf{j}}_a^* \right\}$.

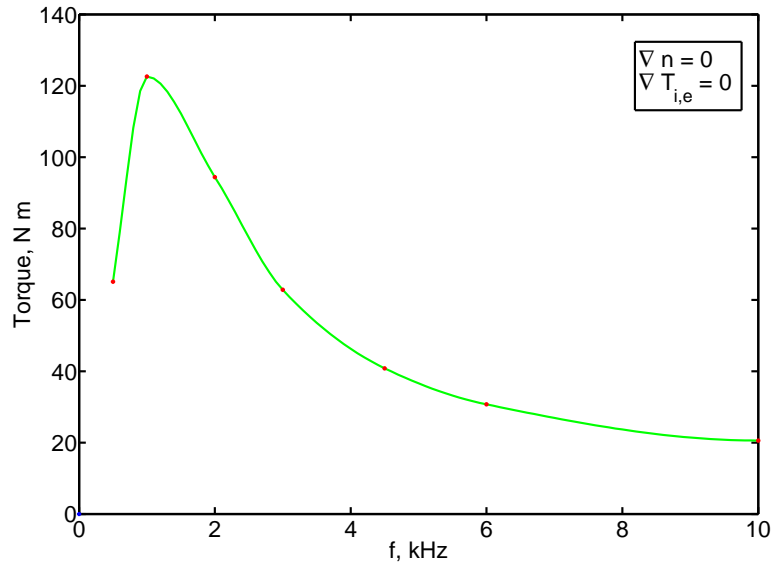
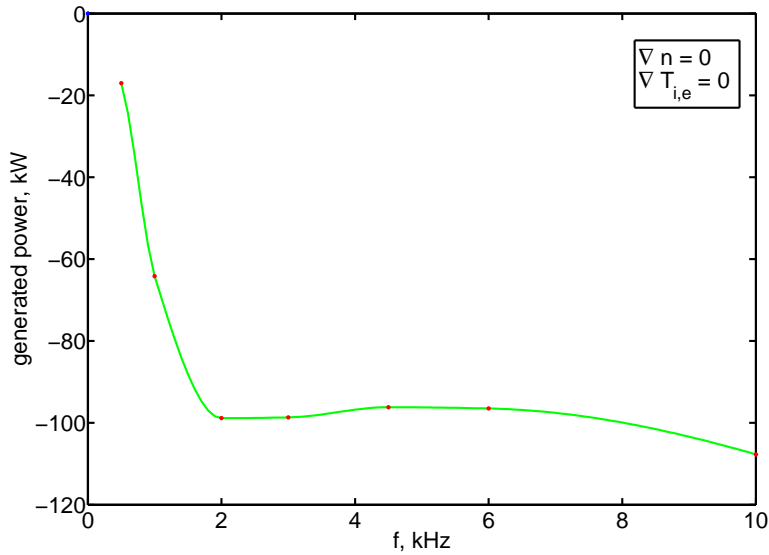
Integrated locally generated power and electron collisions



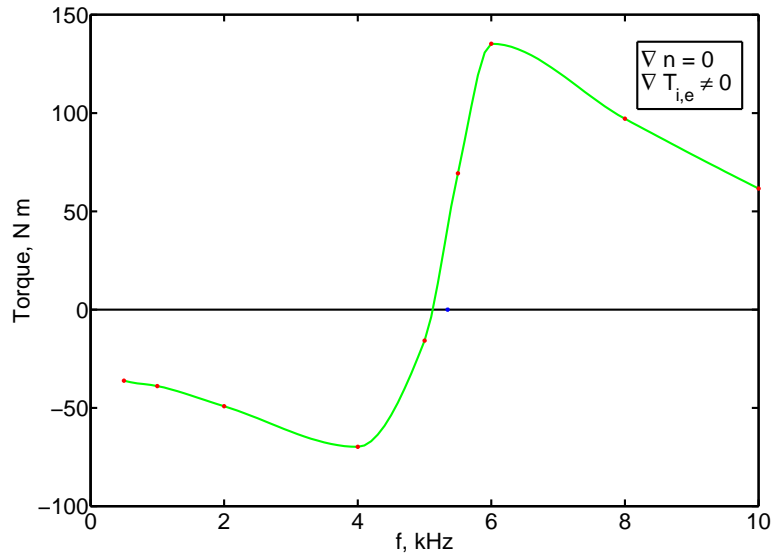
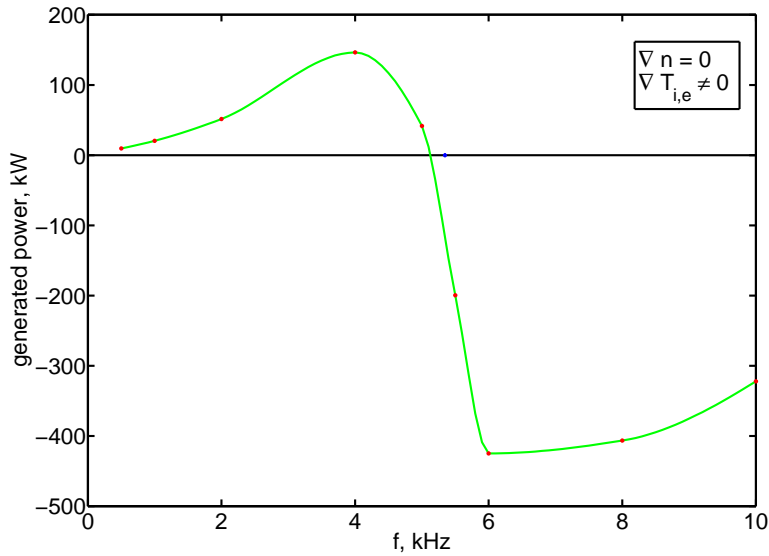
Red: with electron collisions. **Green:** collisionless model.

Electron collisions broaden the resonance region and enhance the amount of power generated within this region.

Power and torque, $T=const$ and $n=const$

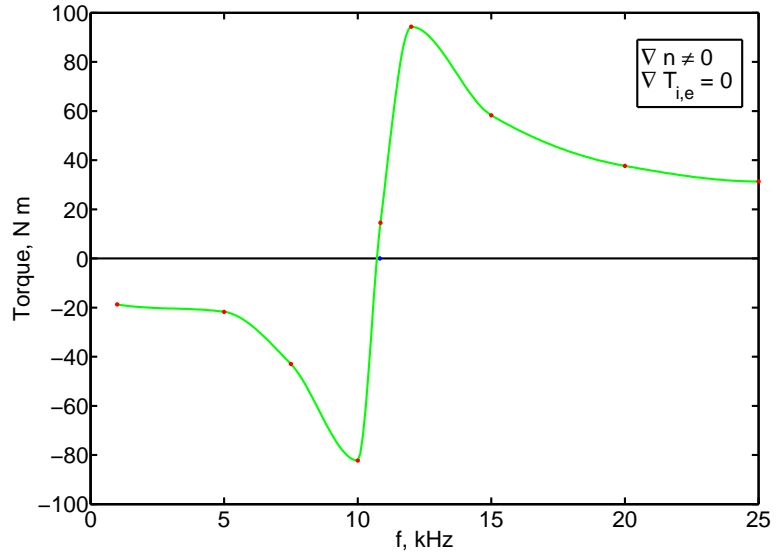
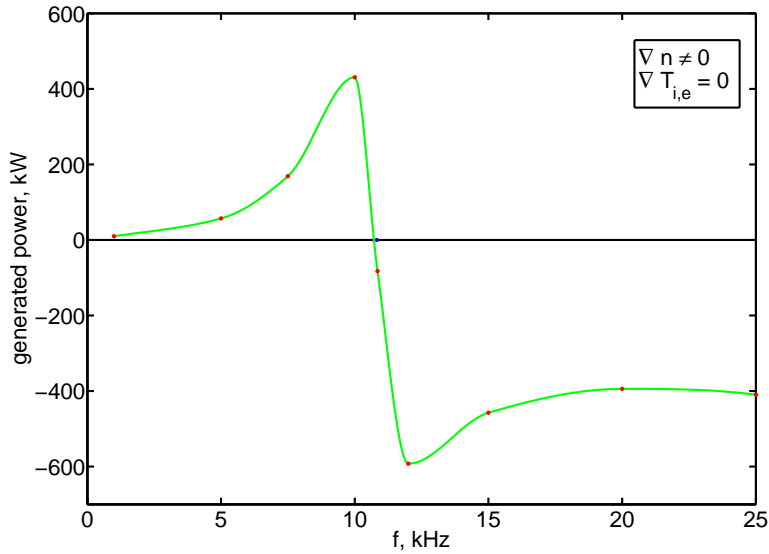


Power and torque, T varying and $n=const$



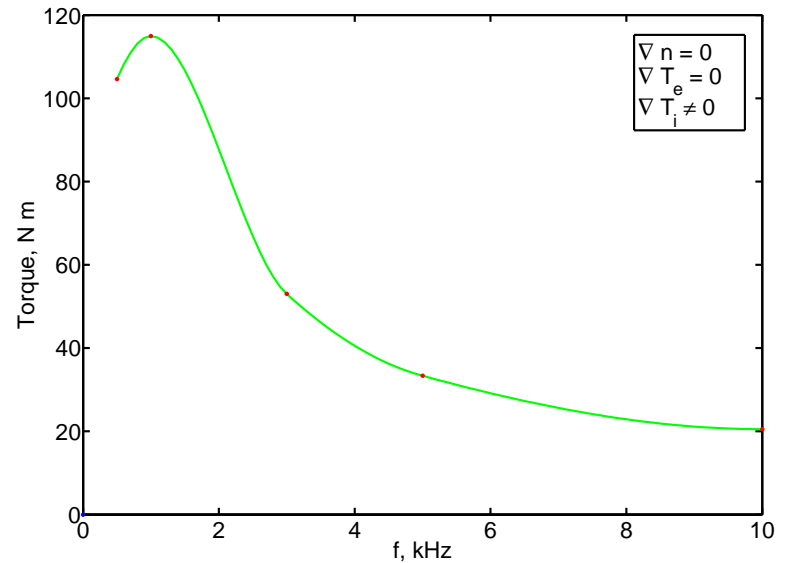
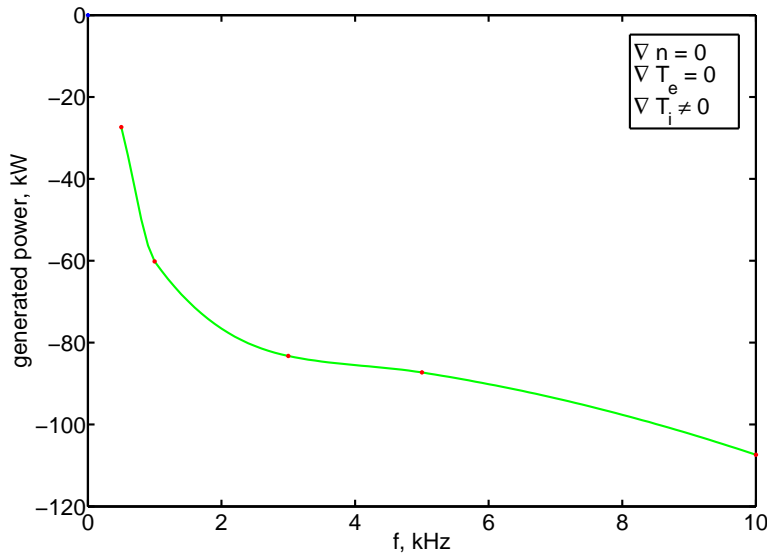
Blue asterix: diamagnetic drift frequency

Power and torque, $T=\text{const}$ and $n=\text{varying}$



Blue asterix: diamagnetic drift frequency

Power and torque, $T_e, n = \text{const}$ and $T_i = \text{varying}$



Qualitatively the same as the $n, T = \text{const}$ case.

Why power can be generated?

Constants of motions are used to build the distribution function:

$$f_0(H_0, u_{\parallel}, r_0) = \frac{H_0(J_{\perp}, P_{\vartheta}, P_z), \quad r_0(P_{\vartheta}, P_z), \quad u_{\parallel}(P_{\vartheta}, P_z),}{(2\pi m T_0(r_0))^{(3/2)}} \times \exp \left\{ -\frac{1}{T_0(r_0)} \left(H_0(\mathbf{J}) - e\Phi_0(r_0) - mV_0(r_0)u_{\parallel} + \frac{mV_0^2(r_0)}{2} \right) \right\}.$$

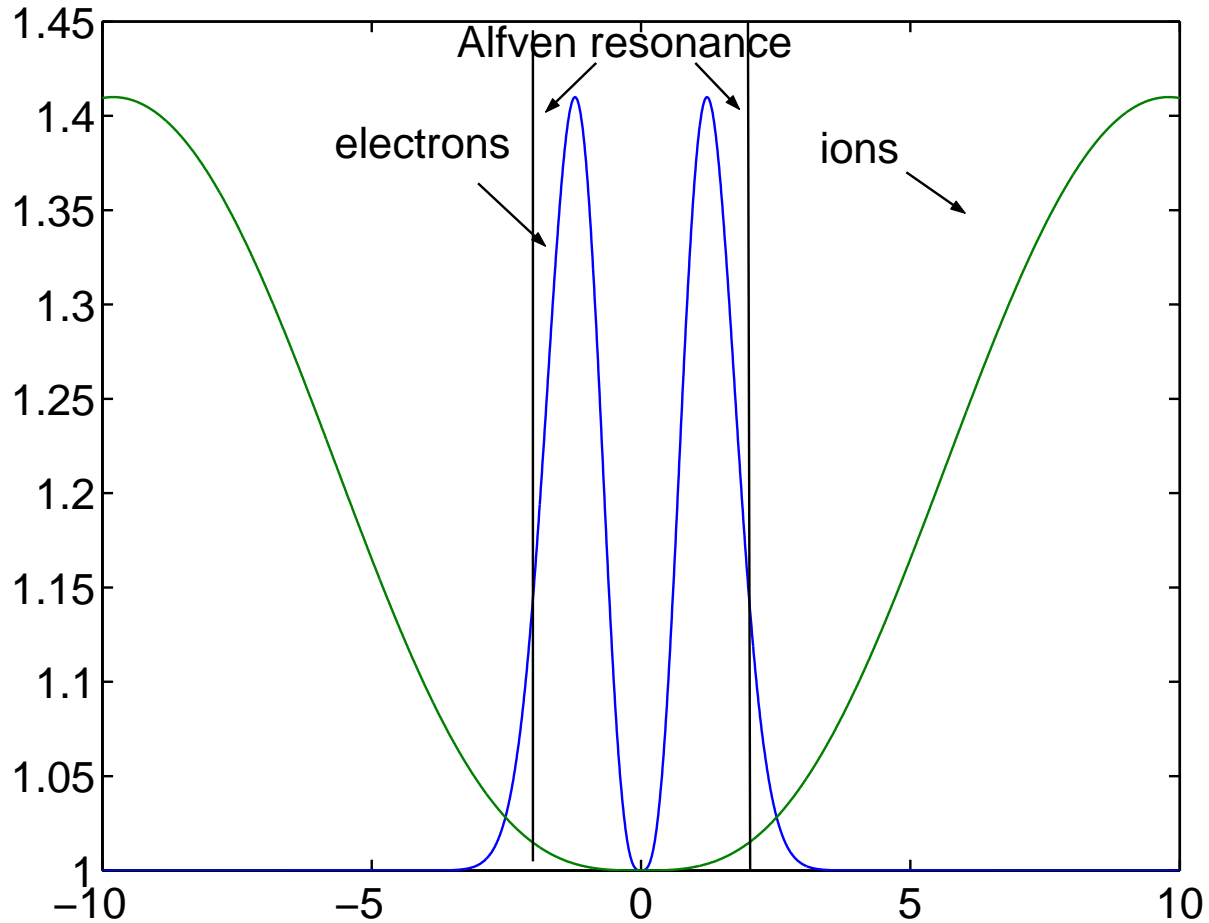
The velocity $V_0(r_0)$ is chosen such that electron current gives the desired q profile.

$$\begin{aligned} \mathbf{m} \cdot \frac{\partial r_0}{\partial \mathbf{J}} &= \frac{ck_s}{eB_0}, & \mathbf{m} \cdot \frac{\partial u_{\parallel}}{\partial \mathbf{J}} &= \frac{k_{\parallel}}{m}, \\ \delta(\mathbf{m} \cdot \boldsymbol{\Omega} - \omega) \mathbf{m} \cdot \frac{\partial f_0}{\partial \mathbf{J}} &= \delta(\mathbf{m} \cdot \boldsymbol{\Omega} - \omega) \left(\omega \frac{\partial f_0}{\partial H_0} + \mathbf{m} \cdot \frac{\partial r_0}{\partial \mathbf{J}} \frac{\partial f_0}{\partial r_0} + \mathbf{m} \cdot \frac{\partial u_{\parallel}}{\partial \mathbf{J}} \frac{\partial f_0}{\partial u_{\parallel}} \right) \\ &= \delta(\mathbf{m} \cdot \boldsymbol{\Omega} - \omega) \left(-\frac{\omega}{T_0} f_0 + \frac{ck_s}{eB_0} \frac{\partial f_0}{\partial r_0} + \frac{k_{\parallel}}{m} \frac{\partial f_0}{\partial u_{\parallel}} \right) \\ &\propto -(\omega - \omega_*) \implies p_L \propto \omega(\omega - \omega_*), \end{aligned}$$

with ω_* the diamagnetic drift frequency.

$$F_s \propto k_s(\omega - \omega_*).$$

Why the ions do not feel it?



Conclusions (model)

- A family of FLR expansions has been introduced with the property of a finite low frequency limit and positive definiteness of the absorbed power in a thermodynamic equilibrium plasma.
- The number of modes for this type of expansion is $3M - 1$, i.e., $2 - 5 - 8 - \dots$, where M is an expansion order.
- Since the Bernstein type modes do not participate in the Alfvén resonance, the 2-mode FLR expansion has been used in the present study (5-mode expansion is planned in a future study).
- The 2-mode model is in good agreement with ideal MHD.
- The separation of the work of the electric field on the plasma currents allows 3 relatively independent methods to calculate the absorbed (generated) power: as a total power flux (Poynting plus material flux) towards the antenna, as an integral of the absorbed power density over the plasma volume and third, as the work of the antenna currents on the wave electric field.

Conclusions (modelling)

- For the case of a homogeneous plasma, the results for the power absorption and for the torque are in good agreement with other linear models.
- For DED frequencies ω below the **electron** diamagnetic drift frequency $\omega_* = k_s v_{de}$, the force acting on the plasma is always in the direction of the **ion** diamagnetic drift irrespective of the sign of ω .
- Measurements of the antenna impedance are of interest.