

# Temperature Dependence of Optical Spectral Weights in $\alpha'$ -NaV<sub>2</sub>O<sub>5</sub>

Markus Aichhorn<sup>1,2</sup>, Peter Horsch<sup>1</sup>, Wolfgang von der Linden<sup>2</sup>, Mario Cuoco<sup>3</sup>

<sup>1</sup>Max-Planck-Institut für Festkörperforschung, Heisenbergstrasse 1, D-70569 Stuttgart, Germany

<sup>2</sup>Institut für Theoretische Physik, Technische Universität Graz, Petersgasse 16, A-8010 Graz, Austria

<sup>3</sup>I.N.F.M di Salerno, Dip. Scienza Fisiche “E.R. Caianello”, I-84081 Baronissi Salerno, Italy

## Introduction

The low dimensional transition metal oxide  $\alpha'$ -NaV<sub>2</sub>O<sub>5</sub> has attracted considerable attention during the past few years because of its very fascinating properties. One key feature of this compound is the 3D charge ordering transition at  $T_c = 34$  K which is accompanied by the opening of a spin gap. Our work is motivated by a recent study of the optical properties of  $\alpha'$ -NaV<sub>2</sub>O<sub>5</sub> by Presura *et al.*<sup>2</sup>, who observed a reduction of the integrated optical conductivity (IOC) by 12-14% between 34 K and room temperature. In addition they found a small reduction of the IOC below the phase transition temperature for *a* polarisation, whereas this reduction is not observed for *b* polarisation.

Presura *et al.* claimed that the decline of the IOC between  $T_c$  and room temperature could be due to charge excitations appearing at  $q_b = \pi$  in the Ising model in a transverse field (IMTF), which the charge fluctuations of a single ladder can be mapped onto. In this work we investigate this assumption as well as the several possible scenarios for the low-temperature phase.

## Extended Hubbard Model (*t*-*U*-*V*)

$$H = - \sum_{\langle ij \rangle, \sigma} t_{ij} (c_{i\sigma}^\dagger c_{j\sigma} + \text{h.c.}) + \sum_{\langle ij \rangle} V_{ij} n_i n_j + U \sum_i n_{i\downarrow} n_{i\uparrow} \quad (1)$$

As the  $t_{ij}$  are small compared to  $U$  ( $\approx 4.0$  eV) one can eliminate local double occupancies:

## Extended *t*-*J* Model (*t*-*J*-*V*)

$$H = - \sum_{\langle ij \rangle, \sigma} t_{ij} (\tilde{c}_{i\sigma}^\dagger \tilde{c}_{j\sigma} + \text{h.c.}) + \sum_{\langle ij \rangle} V_{ij} n_i n_j + \sum_{\langle ij \rangle} J_{ij} (\mathbf{S}_i \cdot \mathbf{S}_j - \frac{1}{4} n_i n_j) \quad (2)$$

with  $J_{ij} = 4t_{ij}/U$  and  $\tilde{c}_{i\sigma}^\dagger = c_{i\sigma}^\dagger (1 - n_{i,-\sigma})$ . The sums in equations (1) and (2) run over spin  $\sigma = \downarrow, \uparrow$  and all bonds  $\langle ij \rangle$  between next neighbours on a trellis lattice shown in fig. 1.

## Optical Conductivity

Finite frequency response given by the Kubo formula

$$\sigma_\alpha(\omega) = \frac{1 - e^{-\beta\omega}}{\omega} \text{Re} \int_0^\infty d\tau e^{i\omega\tau} \langle j_\alpha(\tau) j_\alpha \rangle \quad (3)$$

with the current operator  $j_\alpha = i \sum_{\langle ij \rangle, \sigma} t_{ij} R_\alpha^{ij} (c_{i\sigma}^\dagger c_{j\sigma} - \text{h.c.})$  and  $R_\alpha^{ij}$  the vector connecting sites  $i$  and  $j$ . The connection between the optical conductivity and the kinetic energy is given by the f-sum rule:

$$I_\alpha(T) = \int_0^\infty d\omega \sigma_\alpha(\omega) = \frac{\pi}{2N} \sum_{\delta} \delta_\alpha^2 \langle -H_{\text{kin}}^\delta \rangle. \quad (4)$$

with hopping-vector  $\delta$  and polarization axis  $\alpha$ .

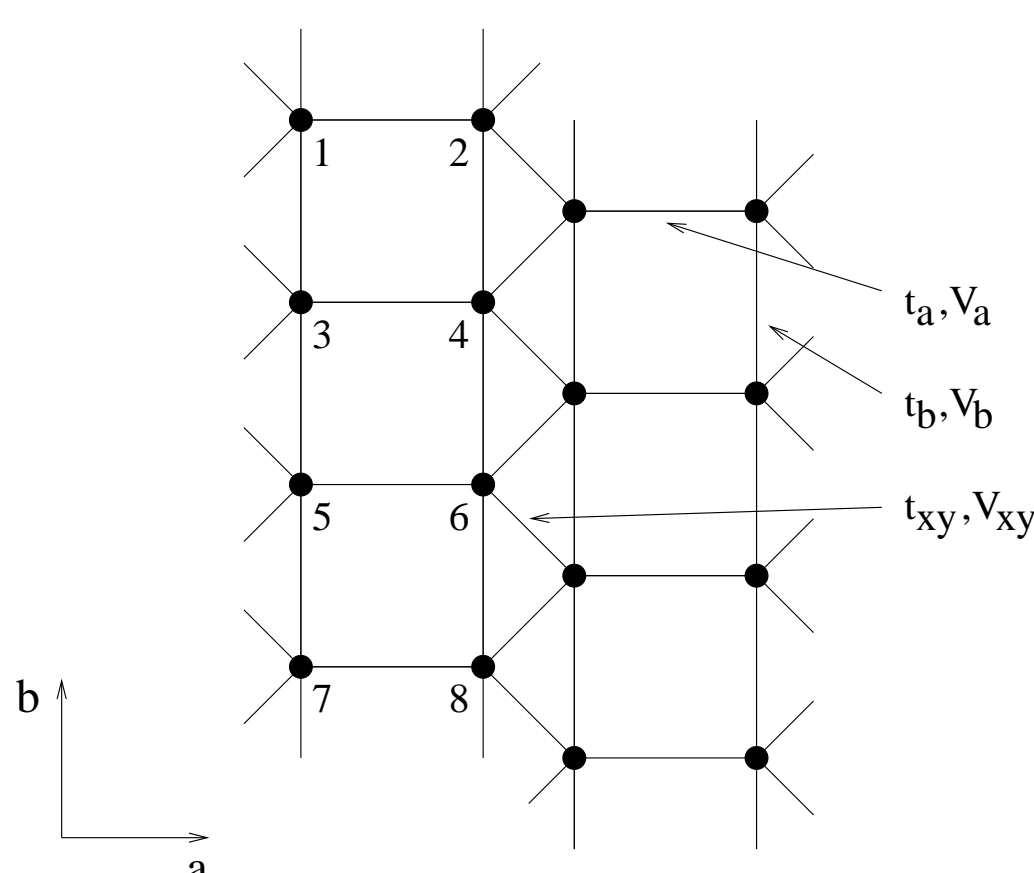


Fig. 1: Trellis lattice of vanadium ions in the (*a*, *b*)-plane.

## $T > T_c$ , Spinless case

Large  $U/|t_{ij}|$  and  $V_a/|t_{ij}|$ : Relevant subspace represented by pseudospin operators  $\mathbf{T}_r$ . Simplification to single ladder gives the IMTF:

$$H_{\text{ladder}} = -2t_a \sum_r T_r^x + 2V_b \sum_r T_r^z T_{r+1}^z \quad (5)$$

Kinetic energy in terms of  $h = 2t_a/V_b$ :

$$\frac{E_{\text{kin}}}{V_b} = \frac{h}{2\pi} \int_0^\pi dk \frac{\cos k + h}{\epsilon(k)} \tanh\left(\frac{\beta V_b \epsilon(k)}{2}\right) \quad (6)$$

with  $\epsilon(k) = \sqrt{h^2 + 2h \cos k + 1}$ .

Fig. 2 does not show any decrease of  $E_{\text{kin}}$  at low temperatures  $\rightarrow$  Charge-only model cannot explain the decline of the IOC  $\rightarrow$  Spins have to be included.

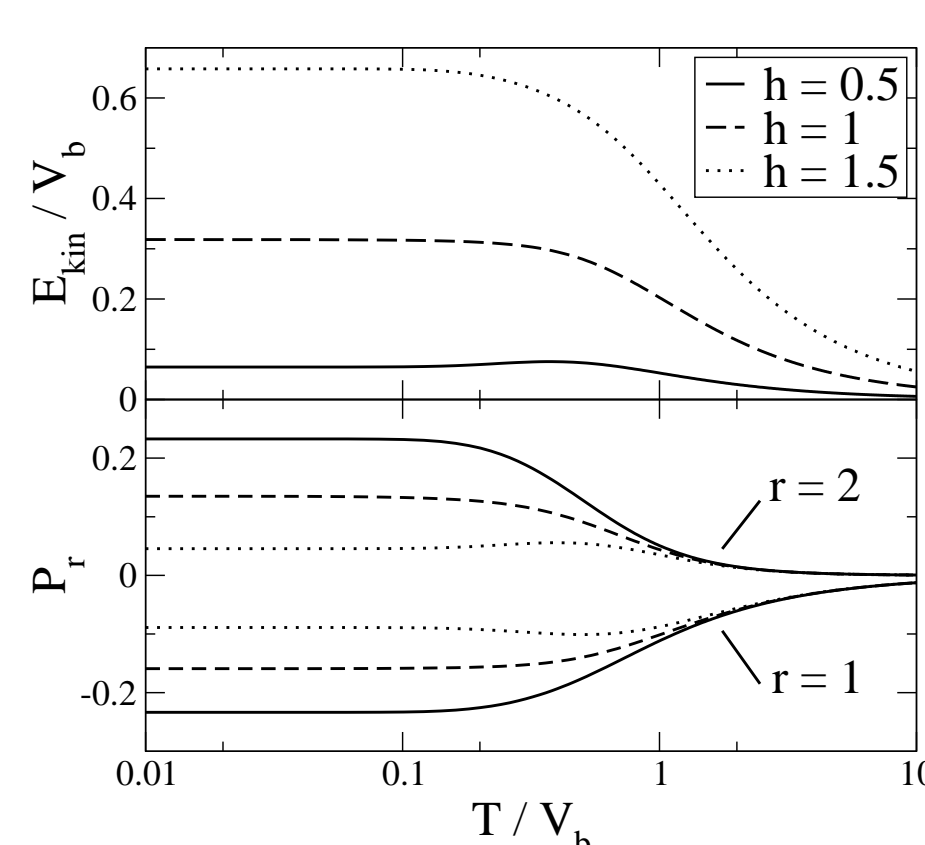


Fig. 2: Temperature dependence of the kinetic energy and pseudospin correlation function  $P_r = \frac{1}{N} \sum_{r'} \langle T_r^z T_{r+r'}^z \rangle$  of the IMTF.

## $T > T_c$ including Spins

Calculation of  $\sigma_\alpha(\omega)$  for the *t*-*J*-*V*-model by finite temperature Lanczos technique on a  $4 \times 4$  site system. Fig 3. shows strong decrease at low temperature, especially for *b* polarisation. From Fig 4. one can see that the decline of  $E_{\text{kin}}$  is due to the loss of short range AF spin correlations.

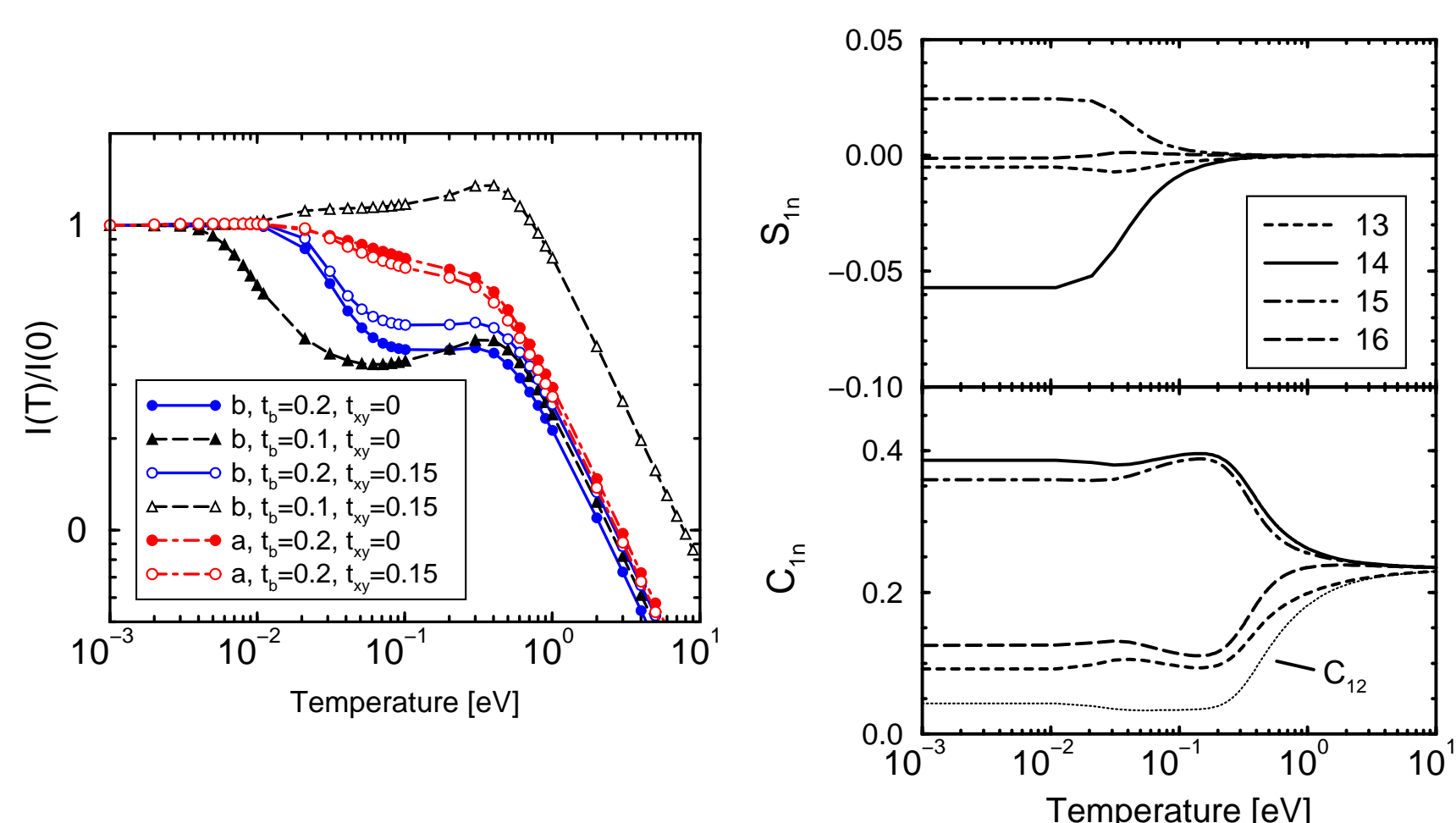


Fig. 3: Left panel: IOC for *a*- (dot-dashed) and *b*- polarisation for the *t*-*J*-*V*-model. Parameters are  $t_a = 0.4$ ,  $V_a = V_b = 0.8$ ,  $V_{xy} = 0.9$  eV. Right panel: Spin  $S_{1n} = \langle S_1^z S_n^z \rangle$  (top) and charge correlations  $C_{1n} = \langle n_1 n_n \rangle$  (bottom), see fig. 1. Parameters as above with  $t_b = 0.2$ ,  $t_{xy} = 0.15$ .

## $T > T_c$ , Two Rung System

Analytic evaluation of  $I(T)$  on a 4 site systems gives formulas for the low temperature behaviour:

$$I_a(T) \propto \frac{1}{1 + 3e^{-\beta E_{st}^0}} + 3\kappa \frac{1}{3 + e^{\beta E_{st}^0}} \quad (7)$$

$$I_b(T) \propto \frac{1}{1 + 3e^{-\beta E_{st}^0}} \quad (8)$$

For *b*-polarisation only the singlet channel contributes, whereas for *a*-polarisation both singlet and triplet channel have non-vanishing matrix elements.

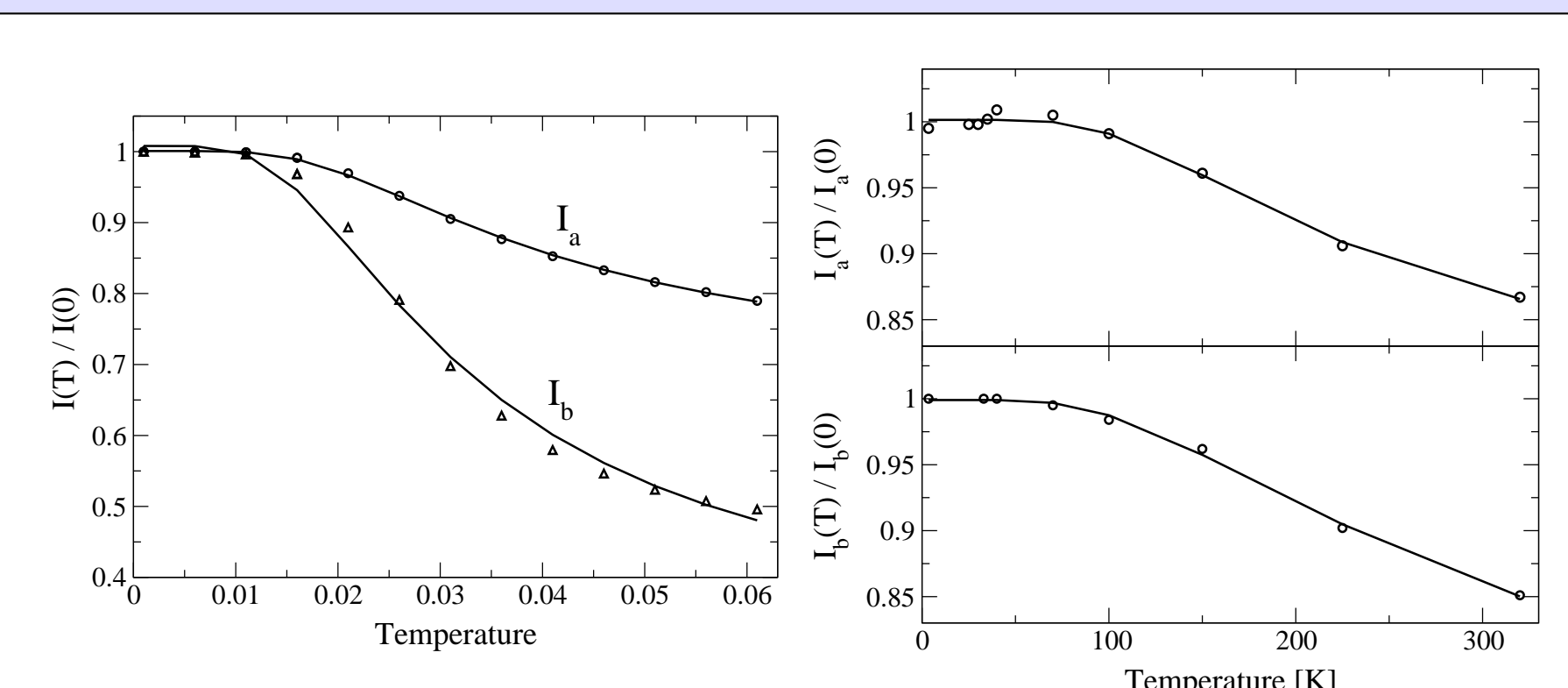


Fig. 4: Left panel: IOC for *a* and *b* direction for the numerical data. Fit gives  $E_{st}^0 = J = 76(61)$  meV for *a*(*b*) direction. Right panel: IOC for *a* (top) and *b* direction for the experimental data. Fit gives  $E_{st}^0 = J = 39(35)$  meV for *a*(*b*) direction consistent with other experiments.

## Low Temperature Phase

Below  $T_c$  a dimerisation of the lattice takes place, accompanied by zig-zag charge ordering and slightly larger hopping amplitudes along the ladders, because bonding oxygen atoms are shifted<sup>3</sup>. We studied four possible scenarios:

- All ladders distorted  $\leftrightarrow$  every second ladder distorted
- Without diagonal hopping  $t_D \leftrightarrow$  With diagonal hopping  $t_D$  ( $t_D$  connects sites 1 and 4 and so on, see fig. 1). We induced the charge ordering by adding onsite energies favouring zig-zag order to the hamiltonian (1). In all cases we get a rather small charge transfer of  $\Delta_c = 0.03$  eV and slightly larger  $t_b$  (and  $t_D$ ) in order to reproduce the experimental spectra. The change of the hopping amplitudes at  $T_c$  are in our calculations:
- Without  $t_D$ :  $t_b = 0.2 \rightarrow 0.207$
- With  $t_D$ :  $t_b = 0.08 \rightarrow 0.085$ ,  $t_D = 0.095 \rightarrow 0.098$
- In both cases:  $t_{xy} = 0.07 \rightarrow 0.08$

A larger charge transfer proposed in recent studies<sup>4</sup> does not lead to satisfying results.

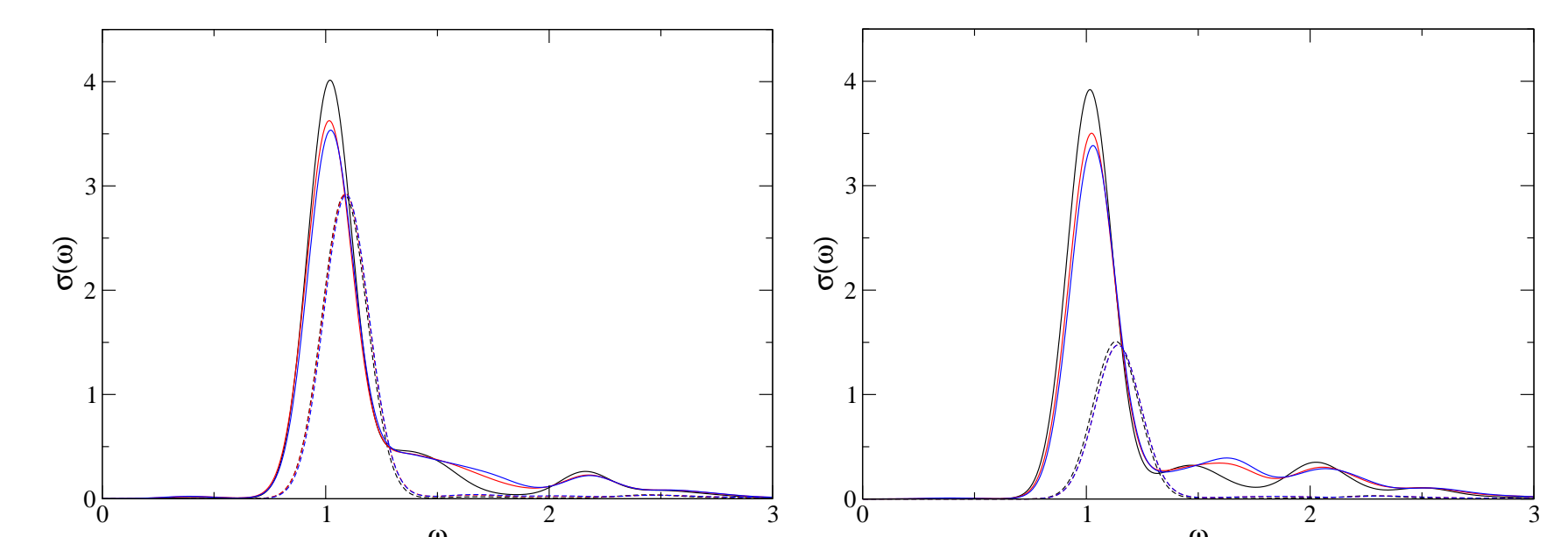


Fig. 5: Optical conductivity for the *t*-*U*-*V* model for *a*- (solid) and *b* direction (dashed lines). Black curve:  $T \approx T_c$ . Red curve:  $T < T_c$  and all ladders distorted. Blue curve:  $T < T_c$  and every second ladder distorted. Left panel: without diagonal hopping. Right panel: Including diagonal hopping  $t_D$ .

## Conclusion

We have shown, based on the correlation functions of the IMTF, that the low energy charge excitations do not lead to a substantial *T*-dependence of the IOC's. However, for the quarter filled *t*-*J*-*V* model we find a large decrease of IOC's in the temperature range  $0.2J < T < J$ . This change in kinetic energy is magnetic in origin and can be explained by the destruction of short-range AF spin correlations.

In addition we found that the *t*-*U*-*V* model can describe the distorted low-temperature phase, too, provided charge transfer is small. From our calculations it is not possible to distinguish between the two proposed charge order patterns, i.e. all ladders distorted (2 different V-sites)<sup>4</sup> or only every second ladder distorted (3 different V-sites)<sup>3</sup>.

## References

- <sup>1</sup> M. Aichhorn *et al.*, cond-mat/0108465
- <sup>2</sup> C. Presura *et al.*, Phys. Rev B **61**, 15762 (2000)
- <sup>3</sup> A. Bernert *et al.*, Eur. Phys. J. B **21**, 535 (2001)
- <sup>4</sup> B. Grenier *et al.*, Phys. Rev. Lett. **86**, 5966 (2001)
- <sup>5</sup> P. Horsch and F. Mack, Eur. Phys. J. B **5**, 367 (1998)
- <sup>6</sup> M. Cuoco *et al.*, Phys. Rev. B **60**, R8438 (1999)